



# Internal Audit Report for QE

11/07/2023

Audit Department

NATIONAL HOUSING BANK | NEW DELHI

## Audit Schedule

Department / Audit Calendar	July-Sept (Q1)	Oct-Dec (Q2)	Jan- Mar (Q3)	Apr- June (Q4)
Department of Supervision	✓	✓	✓	✓
Refinance and Project Finance Department	✓	✓	✓	✓
Risk Management Department*		✓		✓
Resource Mobilization & Management Department*		✓		✓
Accounts Department*		✓		✓
MRCPD & Corp Communication Department*		✓		✓
Grievance Redressal Cell*		✓		✓
IT Department*	✓		✓	
HR & Admin Department*	✓		✓	
Premises Department*	✓		✓	
Legal and Recovery Department*	✓		✓	
Government Schemes Department*	✓		✓	
Compliance Department*	✓		✓	
MRO	✓	✓	✓	✓

\*Departments are audited on half- yearly basis.

Further, 4 ROs (except Mumbai Regional Office) and 5 RROs to be audited on annual basis. As per the approved inspection schedule, internal audit of and was undertaken during

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Document Classification – Confidential

## Summary of Observations

Audit Areas	High	Low	Medium	Total	Closed During Audit	Pending For Compliance
0	0	0	0	0	0	0
1	2	3	4	5	6	7
2	4	6	8	10	12	14
3	6	9	12	15	18	21
4	8	12	16	20	24	28
5	10	15	20	25	30	35
6	12	18	24	30	36	42
7	14	21	28	35	42	49
8	16	24	32	40	48	56
9	18	27	36	45	54	63
10	20	30	40	50	60	70
11	22	33	44	55	66	77
12	24	36	48	60	72	84
13	26	39	52	65	78	91
14	28	42	56	70	84	98
15	30	45	60	75	90	105

Audit Areas	High	Low	Medium	Total	Closed During Audit	Pending For Compliance
16	32	48	64	80	96	112
17	34	51	68	85	102	119
18	36	54	72	90	108	126
19	38	57	76	95	114	133
20	40	60	80	100	120	140
21	42	63	84	105	126	147
22	44	66	88	110	132	154
23	46	69	92	115	138	161
24	48	72	96	120	144	168
25	50	75	100	125	150	175
26	52	78	104	130	156	182
27	54	81	108	135	162	189
28	56	84	112	140	168	196
29	58	87	116	145	174	203
30	60	90	120	150	180	210
31	62	93	124	155	186	217
32	64	96	128	160	192	224
33	66	99	132	165	198	231
34	68	102	136	170	204	238